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## BOARD NOTICES

### BOARD NOTICE 6 OF 2011

### FINANCIAL SERVICES BOARD

### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

### SUSPENSION OF AUTHORISATION

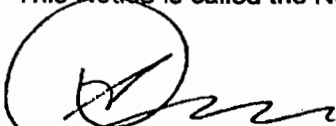
I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR SUSPENSION
Botha & Deyssel Motors Boksburg (Pty) Ltd	23772	29 November 2010	Failure to comply with licensing condition 2.2 of the Licensing Conditions
Hlano Financial Services (Pty) Ltd t/a Hlano Housing Solutions	8068	22 November 2010	Contravention of sections 17(1) and (4) of the FAIS Act
Philmohure Insurance Brokers CC	100	6 December 2010	Contravention of sections 19(1) and (2)(b)(iii) of the FAIS Act
Suidpunt Makelaars BK	2544	29 November 2010	Contravention of sections 8(4)(a) and (b) and 17(4) and 19 of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 1 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 7 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****LIFTING OF SUSPENSION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR LIFTING SUSPENSION</b>
Allen Benjamin Rieser t/a ABR Consultants	8020	8 December 2010	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anand Maharaj & Associates CC	6486	18 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andries Willem Opperman t/a Dries Opperman Makelaars	25585	1 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angelfish Investments 199 CC t/a Paterson & Jones Financial Services	37787	6 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bafana Stephen Mthethwa t/a Health Care Broker	11339	9 November 2010	The licensee has now complied with section 15A of the FSB Act
Basic Blue Trading 306 CC t/a NN Financial Services	33656	1 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Bluegreen Investment CC	30424	11 November 2010	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
BNR Legal Solutions (Pty) Ltd	18530	22 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Christopher William Borchers	19873	29 November 2010	The licensee has now complied with section 15A of the FSB Act
Comrade Nkosinathi Sifiso Ndlovu t/a Comrade NS Brokers	36606	7 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

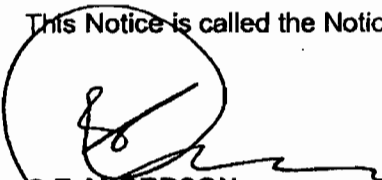
Corrie Keyter t/a CJ Keyter Makelaars	5178	7 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Counter Point CC	7265	22 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Danron Accounting Services CC t/a JC Incorporated	1392	6 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Darmar Health Care Services CC	11383	22 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DBA Trading Enterprise CC	32191	16 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Delta Blue Trading 192 CC	38852	18 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Diane Natalie Coetzer	9835	1 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dragon Motors CC t/a Chana Motors Rustenburg	31741	24 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Eugene Edwin Kikia t/a Eugene Kikia and Associates	21006	16 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Faadiyah Adams	33120	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Focbusman Consultants CC	15892	29 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerbrecht Elizabeth Keyser t/a Economic Brokers	15884	1 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gerrie Johan Agenbag t/a Johan Agenbag Agency	12027	11 November 2010	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Go Ahead Trading 527 CC t/a Peet Goosen Makelaars	15768	24 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Hilda Nomusa Ngema t/a HN Brokers	27889	24 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Interfin CC	11620	4 November 2010	The licensee has now complied with section 15A of the FSB Act
Jacob Kitso Makgotla t/a J and J Associates	9243	30 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keith William Atson t/a Keith Atson Health & Financial Services	33592	26 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khanyisile Bridget Mkize t/a KB Financial Brokers	30869	2 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Knowledge Simphiwe Dladla t/a KS Services Provider	35164	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Legal Sense (Pty) Ltd	26702	24 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Etsebeth t/a Abfin Financial Services	11718	3 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marumo Mlangeni	31744	16 November 2010	The licensee has now complied with section 15A of the FSB Act
Matthysen Funeral Services CC	18599	22 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Mmboswobeni Eric Ratshiungo t/a Future Insurance Brokers	34403	29 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(1) and (4) and 19(2)(b)(iii) of the FAIS Act
Mnumzana Jan Ncetani t/a I.M.N Pre- Audit Accounting & Financial Services	28468	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Murnau Motors Rustenburg (Pty) Ltd t/a Best Auto (BMW)	20388	8 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzwandile Calvert Lokotwayo t/a M.C.L Brokers	12738	24 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Nico Odendaal CC	36830	9 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nsele Trading 17 (Pty) Ltd	22676	1 December 2010	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Ntokozo Khoza	26021	1 December 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Orient Estates CC	37492	22 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Out There Brokers CC	22993	24 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oyisha Product Distributors CC t/a Oyisha financial Advisors	23100	10 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Patrick Vulindlela Maju t/a Tax and Investments Solutions	39398	16 November 2010	The licensee has now complied with section 17(4) of the FAIS Act
RBS Health Management (Pty) Ltd	18092	1 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b) (iii) of the FAIS Act
Rod Fouche Associates CC	8614	3 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ronald Brown t/a Ronald Brown Brokers	10951	7 December 2010	The licensee has now complied with section 15A of the FSB Act
Sesona Financial Service CC	37924	1 December 2010	The licensee has now complied with section 17(4) of the FAIS Act
Sethomi Brokerage CC	38504	8 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sipho Hensfort Mthembu	18742	2 December 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sophia Elizabeth Coetzer t/a Downstream Trading	37791	24 November 2010	The licensee has now complied with section 17(4) of the FAIS Act

Tabisa Sapula t/a TS Broker Services	37666	12 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tanagra Nombulelo Ntetha t/a Tanagra Brokers	28479	16 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thethani Consumer Care and Communications (Pty) Ltd	27838	19 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tim Jones & Associates CC	16469	24 November 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Univem (Pty) Ltd t/a Univem Insurance Brokers	14753	8 December 2010	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Vusimuzi Elias Mpatani t/a Tamavusi Brokers	35695	29 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(1) and (4) and 19(2)(b)(iii) of the FAIS Act
Welcome Anderson	23011	8 November 2010	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Hendrik Marais t/a Willie Marais Brokers	2163	1 December 2010	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Zilungiselele Trading and Projects CC	34648	16 November 2010	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 1 of 2011.

  
**G.E. ANDERSON**  
 Deputy Registrar of Financial Services Providers



**BOARD NOTICE 8 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR WITHDRAWAL</b>
Alan John O'Brien t/a O'Brien and Associates	17801	2 December 2010	Contravention of section 8(1)(a) of the FAIS Act, and sections 2 and 3 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("General Code of Conduct")
Anna Elizabeth Roos t/a AE Roos Brokers	18741	24 November 2010	Contravention of sections 17(1) and 19(1) and (2)(b)(iii) of the FAIS Act
Clinton Saaiman t/a CCS Motors	25987	5 July 2010	Contravention of section 17(1) of the FAIS Act
Francois Hendrik Du Plessis t/a Francois Du Plessis and Associates	11093	16 November 2010	Contravention of section 8(1)(a) of the FAIS Act
Heizel Joyce Hlangwane t/a MOD Brokers	12717	16 November 2010	Contravention of section 8(1)(a) of the FAIS Act, and section 2 of the General Code of Conduct
House of Fine Cars CC	25508	6 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b) of the FAIS Act
Linko Life (Pty) Ltd t/a Linksure Life	21198	24 November 2010	Contravention of sections 17(1) and (4) and 19(1) and (2)(b)(iii) of the FAIS Act
Mrigdarshan Ramnath t/a First Trust Insurance Broker	6045	16 November 2010	Contravention of section 8(1)(a) of the FAIS Act, and section 2 of the

			General Code of Conduct
Muriel Jacoline Oosthuizen t/a Millennium Financial Advisory Services & Brokers	20950	6 December 2010	Contravention of section 8(1)(a) of the FAIS Act, and section 15A of the Financial Services Board Act, 1990 ("FSB Act"), and section 2 of the General Code of Conduct
Nicolaas Johannes Human t/a Medcon Medical Aid Brokers	4871	6 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b) of the FAIS Act, and section 15A of the FSB Act
OTT International Holdings CC	34562	7 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b) of the FAIS Act
Raindance Investments 505 CC t/a Portico Portfolio Consultants	15121	3 December 2010	Contravention of sections 17(1) and (4) and 19(1) and (2)(b)(iii) of the FAIS Act
Renels Group (Pty) Ltd	29954	3 December 2010	Contravention of sections 17(1) and 19(1) and (2)(b)(iii) of the FAIS Act
Robamahan CC t/a Hoffeldt Auto	22743	6 December 2010	Contravention of sections 17(1) and (4) of the FAIS Act
Roger Wilco Trading 117 CC t/a Augusta Motors	26399	9 November 2010	Contravention of sections 17(1) and (4) and 19(1) and (2)(b)(iii) of the FAIS Act, and failure to comply with licensing condition 2.1 of the Licensing Conditions
Themdo Funeral Directors CC	17194	6 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b) of the FAIS Act and section 15A of FSB Act
Triangle Eagle Financial Services CC	13653	16 November 2010	Contravention of section 8(1)(a) of the FAIS Act, and section 2 of the General Code of Conduct
U Life Insurance Administrators CC	3753	6 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b)(iii) of the FAIS Act, and section 15A of the FSB Act

Victor Mavin Mongwe t/a Victor's Brokerage	6168	6 December 2010	Contravention of sections 17(4) and 19(1) and (2)(b) of the FAIS Act, and section 15A of the FSB Act

This Notice is called the Notice on Withdrawal of Authorisation, No 1 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*